

## Maureen Upton, Board Member



### Board Director Seats

Janus Henderson Investors, ETF Trusts (2016-Present)  
Cascadia Minerals Ltd. (2023-Present)  
ATAC Resources Ltd. (2022-2023, acquired by Hecla Mining Co.)  
Janus Funds (2003-2005)  
Colorado Department of Public Health & Environment,  
Compliance Advisory Panel (2004-2006)

### Board Committee Memberships

Audit (Cascadia Minerals, Janus Henderson and Janus Funds)  
Compensation (Cascadia Minerals)  
Nominating & Governance - Chair (Janus Henderson)  
Brokerage & Distribution - Chair (Janus Funds)  
Pricing (Janus Funds)

### Board Governance Credentials

DDN 502 Systemic Cyber Risk Governance for U.S. Public Company  
Corporate Directors – Digital Directors Network (2022)  
Corporate Boardbound – Women’s Leadership Foundation (2022)

### Corporate Management Positions

Sustainability Advisor, World Gold Council  
Principal Consultant - Sustainability, SRK Consulting  
Director of Public Affairs, Newmont Mining Corporation  
Associate, Equities Division, Goldman, Sachs & Co.  
Vice President, Latin America Marketing, Chase Manhattan Bank

### Board Governance Affiliations

Independent Directors Council  
Mutual Fund Directors Forum  
Women Corporate Directors

Maureen Upton has 10 years of publicly-traded company board experience on both corporate and investment company boards (mutual and exchange-traded funds) as well as 7 years on nonprofit and public-sector boards.

Ms. Upton’s corporate management roles have been in mining (Newmont), investment management (Goldman Sachs) and commercial banking (Chase). She runs an international ESG consulting practice and hosts the [Inside ESG](#) podcast. For over 20 years, she has worked with C-suite executives on projects spanning 5 continents, doing business in 3 languages (English, Spanish and Portuguese).

Ms. Upton holds both an MBA in Finance and a Master of International Affairs in Economic Policy from Columbia University in New York, and a BA in Philosophy from the University of California at Berkeley.

Ms. Upton is 58 years old and lives in Evergreen, Colorado.

<https://www.linkedin.com/in/maareenupton/>