

Lisa Ware-Alexander

Corporate Board Candidate

EDUCATION

J.D.
Chicago-Kent College of Law

B.S. in Chemistry
Tennessee Tech University

CERTIFICATIONS / EXECED

Finance for Senior Executives
Harvard Business School Executive Education

Women on Boards: Preparing to Succeed as a
Corporate Director
Harvard Business School Executive Education

Certified Fraud Examiner (inactive)
Association of Certified Fraud Examiners

BOARD LEADERSHIP

2020 – Present

- Secretary, Bunge Limited Board of Directors (NYSE: BG)
 - Secretary, Pension & Investment Committee
 - Disclosure Committee Member
 - Director on numerous Bunge subsidiary finance committees
- United Way of Larimer County Annual Social Leadership Committee
- Women Execs on Boards Executive Committee Member
- Corporate Boardbound (Women’s Leadership Foundation)

2016 - 2020

- Secretary of Nutrien Limited’s (NYSE: NTR) Citizenship Fund and Political Action Committee.
- Disclosure Committee Member

BIO

- Lisa is a well-versed and driven senior leader with 25+ years of experience transforming enterprises, influencing strategic direction, and delivering sound counsel on the intersection of corporate governance, ethics, capital markets, M&A, global regulatory affairs, ESG, IR, and crisis and risk management.
- Lisa is corporate secretary to the Bunge Limited Board of Directors and is a member of numerous Bunge subsidiary boards. She has over a decade of experience advising the Boards of multinational, publicly traded companies.
- Her experience encompasses several industries including agriculture, chemicals, oil & gas, food & ingredients, biotechnology, and financial services. She has direct experience in North and South America, Europe, and Asia in addition to experience leading and developing teams across each continent. Responsible for external legal spend budgets between \$50-\$100 million.
- Lisa’s commitment towards impacting businesses on a global scale is most evident through her deep expertise in global M&A, transformations and turnarounds, and infusing ethics into company culture and operations, along with an authentic and personal commitment to champion diversity and inclusion.

PROFESSIONAL EXPERIENCE & SELECTED ACHIEVEMENTS

VP, Deputy GC, Corporate Secretary, Chief Compliance & Ethics Officer 2020 to Present
Bunge (NYSE: BG) – Denver, Colorado | St. Louis, Missouri

- Secretary and advisor to the Board of Directors and all committees. Fully accountable for overseeing board and governance matters, securities and capital markets practices. Coaches and leads over 35 legal and compliance team members.
- Responsible for deployment of the global ethics and compliance program covering key risks, including commodities and derivatives trading, trade structured finance, sanctions compliance, anti-corruption, data privacy and protection, ESG and crisis management.

VP, General Counsel & Chief Compliance & Ethics Officer 2016 to 2020
Nutrien, Ltd. (NYSE: NTR) – Calgary, Canada | Loveland, Colorado

Advisor to the executive leadership team, board of directors, and auditors. Architected strategic roadmap for global compliance and ethics program and directed key initiatives to influence behavior and foster an ethical, conducive workplace culture. Supervised team of attorneys regarding employment related and material litigation matters. Selected achievements include:

- Chosen to co-develop and direct integration strategy during a \$36B merger-of-equals; aligned company cultures, achieved synergies, and ensured regulatory compliance. Generated \$17M in run-rate synergies by rationalizing external legal spend and establishing a legal matter management system on-time and within budget.
- Selected M&A achievements include leading regulatory and compliance due diligence reviews on over \$1.5B of investments in Brazil and Australia which closed on time, within budget and with an acceptable risk profile. Stood up governance, compliance and ethics programs at numerous Brazilian JVs and operating subsidiaries.

AREAS OF EXPERTISE

- Strategic Planning
- Change Management & Transformation
- Corporate Governance
- Corporate Responsibility / ESG
- Securities & Regulatory Compliance
- Capital Markets
- Enterprise Risk Management
- Mergers, Acquisitions & Integrations
- Data Privacy & Protection
- Anti-Corruption & Anti-Money Laundering
- International Trade
- Company Culture, Ethics
- Investor & Stakeholder Relations
- Complex Litigation

ASSOCIATIONS & BOARDS

- National Association of Corporate Directors
- Society for Corporate Governance
- State Bar of Illinois
- Society of Corporate Compliance & Ethics
- Association of Certified Fraud Examiners
- North Shore Compliance Officers Roundtable

Compliance & Ethics Director

2012 to 2016

Dover Corporation (NYSE: DOV) – Downers Grove, Illinois

- Hand-selected by Chief Legal Officer to spearhead compliance and regulatory matters to spin-off a \$1B public, multi-national public company (NYSE: KN).
- Oversaw the deployment and enhancement of key risk programs, including supply chain transparency, third party due diligence program, data privacy and protections, anti-corruption and U.S. government contracting.

Risk & Compliance Program Manager

2009 to 2012

Aegis Advantage, Secondment to Mars, Inc. – Chicago, Illinois | McLean, Virginia

- Built and delivered transformative leadership to global anti-corruption compliance and monitoring program. Closely coordinated with senior leadership and external providers to localize and establish program in Russia, Kazakhstan, Ukraine, China, India, Argentina, Brazil, Mexico, and the United Kingdom.
- Ensured supply chain integrity and protected the company's reputation by forming a strategic partnership with VP of Sustainability to create a risk-based approach for vetting suppliers and third parties.

Vice President

2005 to 2009

Standard & Poor's LLC | Duff & Phelps, LLC

- Led teams of attorneys and paralegals during M&A valuations on retail, office and industrial properties for various REIT's, investment banks, life insurance and institutional investors.
- Coordinated with legal team and internal and external subject matter experts to devise and implement regulatory risk management and compliance programs for a Fortune 30 financial services company.

Selected Thought Leadership

- Practicing Law Institute, Panelist: *The Foreign Corrupt Practices Act and International Anti-Corruption Developments 2021*, April 12, 2021.
- Canadian Business for Social Responsibility, [*Taking Canadian Business Values on the Road*](#), July 2019.
- American Bar Association, Section of International Law, Panelist: *The Accidental Tourist: How Domestic Laws May Affect Global Employment Policy and Practice*, April 2019.
- South Asian Bar Association of North America, Panelist: *Global M&A Transactions in an Era of Enhanced Compliance Enforcement*, June 2018.
- American Conference Institute, Panelist: FCPA Boot Camp, *Third Party Due Diligence Best Practices in High Risk Countries*, June 16-17, 2015.
- Corporate Counsel Business Journal, *Developing Effective Regional Compliance Programs at Multinational Organizations*, May 2009.
- All of the above reflected experience is supported by a strong background in biomedical research and development in England and the U.S. prior to Lisa commencing a legal career; this research resulted in publications in numerous scientific journals including the Journal of Biochemistry, Journal of Virology, and Proceedings of the National Academy of Sciences (available upon request).